



PTO/SB/08A (09-06)

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 3

**Complete if Known**

|                        |                     |
|------------------------|---------------------|
| Application Number     | 11/687,002          |
| Filing Date            | October 16, 2003    |
| First Named Inventor   | Tuan Ta             |
| Art Unit               | 2141                |
| Examiner Name          | Coulter, Kenneth R. |
| Attorney Docket Number | ION1120-1           |

**U. S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|--------------------|-----------------------|--|--------------------------------|--|---|
|                    |                       | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
|                    | A71                   | US- 5878231                              | 03-02-1999                     | Baehr et al.                                       |   |
|                    | A72                   | US- 5896499                              | 04-01-1999                     | McKelvey   |   |
|                    | A73                   | US- 6088451                              | 07-11-2000                     | He et al.  |   |
|                    | A74                   | US- 6092200                              | 07-18-2000                     | Muniyappa et al.                                   |   |
|                    | A75                   | US- 6275693 B1                           | 08-14-2001                     | Lin et al.   |   |
|                    | A76                   | US- 6516417 B1                           | 02-04-2003                     | Pegrum et al.                                      |   |
|                    | A77                   | US- 6735691 B1                           | 05-01-2004                     | Capps et al.                                       |   |
|                    | A78                   | US- 6804783 B1                           | 10-01-2004                     | Wesinger et al.                                    |   |
|                    | A79                   | US- 7181017                              | 02-01-2007                     | Nagel et al.                                       |   |
|                    | A80                   | US- 6205552                              | 03-20-2001                     | Fudge  |   |
|                    | A81                   | US- 6477143                              | 11-05-2002                     | Ginossar   |   |
|                    | A82                   | US- 09/821,565                           | --                             | Ishikawa   |   |
|                    | A83                   | US- 09/881,1147                          | --                             | Cooper et al                                       |   |
|                    | A84                   | US- 10/000,396                           | --                             | Copeland   |   |
|                    | A85                   | US- 10/072,683                           | --                             | Zuk et al.   |   |
|                    | A86                   | US- 10/195,326                           | --                             | Lee et al.   |   |
|                    | A87                   | US- 10/236,402                           | --                             | Bauer  |   |
|                    | A88                   | US- 10/291,095                           | --                             | Cantrell et al.                                    |   |
|                    | A89                   | US- 10/469,206                           | --                             | Ohtani et al.                                      |   |

**FOREIGN PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear | T <sup>6</sup> |
|--------------------|-----------------------|---|--------------------------------|--|---|----------------|
|                    |                       | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) |                                |  |   |                |
|                    | B6                    | EP 0587522 B1   | 01-26-2000                     | Ahmadi et al.                                      |   |                |
|                    | B7                    | WO 2002/023825 A1   | 03-12-2002                     | WP Media Inc.                                      |   |                |
|                    | B8                    | WO 2003/098461 A1   | 05-14-2003                     | Polcha   |   |                |
|                    |                       |   |                                |  |   |                |
|                    |                       |   |                                |  |   |                |
|                    |                       |   |                                |  |   |                |
|                    |                       |   |                                |  |   |                |

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND**  
TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 2

of 3

**Complete if Known**

|                        |                     |
|------------------------|---------------------|
| Application Number     | 10/687,002          |
| Filing Date            | October 16, 2003    |
| First Named Inventor   | Tuan Ta             |
| Art Unit               | 2141                |
| Examiner Name          | Coulter, Kenneth R. |
| Attorney Docket Number | ION1120-1           |

| U. S. PATENT DOCUMENTS |                       |  |                                |  |   |
|------------------------|-----------------------|--|--------------------------------|--|---|
| Examiner Initials*     | Cite No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                        |                       | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
|                        | A90                   | US- 10/641,494                           | --                             | Valluri  |   |
|                        | A91                   | US- 10/643,864                           | --                             | Nakae et al.                                       |   |
|                        | A92                   | US- 10/709,423                           | --                             | Lu et al   |   |
|                        | A93                   | US- 10/930,392                           | --                             | Cantrell et al.                                    |   |
|                        | A94                   | US- 10/953,326                           | --                             | Suzuki   |   |
|                        |                       | US-                                      |                                |  |   |
|                        |                       | US-                                      |                                |  |   |
|                        |                       | US-                                      |                                |  |   |
|                        |                       | US-                                      |                                |  |   |
|                        |                       | US-                                      |                                |  |   |
|                        |                       | US-                                      |                                |  |   |
|                        |                       | US-                                      |                                |  |   |
|                        |                       | US-                                      |                                |  |   |
|                        |                       | US-                                      |                                |  |   |
|                        |                       | US-                                      |                                |  |   |
|                        |                       | US-                                      |                                |  |   |
|                        |                       | US-                                      |                                |  |   |
|                        |                       | US-                                      |                                |  |   |
|                        |                       | US-                                      |                                |  |   |
|                        |                       | US-                                      |                                |  |   |
|                        |                       | US-                                      |                                |  |   |
|                        |                       | US-                                      |                                |  |   |

| FOREIGN PATENT DOCUMENTS |                       |   |                                |  |   |                |
|--------------------------|-----------------------|---|--------------------------------|--|---|----------------|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear | T <sup>6</sup> |
|                          |                       | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) |                                |  |   |                |
|                          |                       |   |                                |  |   |                |
|                          |                       |   |                                |  |   |                |
|                          |                       |   |                                |  |   |                |
|                          |                       |   |                                |  |   |                |
|                          |                       |   |                                |  |   |                |
|                          |                       |   |                                |  |   |                |
|                          |                       |   |                                |  |   |                |
|                          |                       |   |                                |  |   |                |
|                          |                       |   |                                |  |   |                |
|                          |                       |   |                                |  |   |                |
|                          |                       |   |                                |  |   |                |
|                          |                       |   |                                |  |   |                |
|                          |                       |   |                                |  |   |                |
|                          |                       |   |                                |  |   |                |
|                          |                       |   |                                |  |   |                |
|                          |                       |   |                                |  |   |                |
|                          |                       |   |                                |  |   |                |
|                          |                       |   |                                |  |   |                |
|                          |                       |   |                                |  |   |                |
|                          |                       |   |                                |  |   |                |

|                    |  |                 |  |
|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND**  
TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 3

of 3

**Complete if Known**

|                        |                     |
|------------------------|---------------------|
| Application Number     | 10/687,002          |
| Filing Date            | October 16, 2003    |
| First Named Inventor   | Tuan Ta             |
| Art Unit               | 2141                |
| Examiner Name          | Coulter, Kenneth R. |
| Attorney Docket Number | ION1120-1           |

**U. S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|--------------------|-----------------------|--|--------------------------------|--|---|
|                    |                       | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
|                    | A95                   | US- 20020112186 A1                       | 08-15-2002                     | Ford et al.  |   |
|                    | A96                   | US- 20020123335 A1                       | 09-05-2002                     | Luna et al.  |   |
|                    | A97                   | US- 20020138763 A1                       | 09-26-2002                     | Delaney et al.                                     |   |
|                    | A98                   | US- 20020199007                          | 12-01-2002                     | Clayton et al.                                     |   |
|                    | A99                   | US- 20030135753 A1                       | 07-17-2003                     | Batra et al.                                       |   |
|                    | 100                   | US- 20030149751                          | 08-01-2003                     | Bellinger et al.                                   |   |
|                    | 101                   | US- 20030159072                          | 08-01-2003                     | Bellinger et al.                                   |   |
|                    | 102                   | US- 20030217126                          | 11-01-2003                     | Polcha et al.                                      |   |
|                    | 103                   | US- 20040199635 A1                       | 10-01-2004                     | Ta et al.  |   |
|                    | 104                   | US- 20040049586                          | 03-01-2004                     | Ocepek et al.                                      |   |
|                    | 105                   | US- 20050193103                          | 09-01-2005                     | Drabik, John                                       |   |
|                    | 106                   | US- 20050204168 A1                       | 09-01-2005                     | Johnston et al.                                    |   |
|                    |                       | US-                                      |                                |  |   |
|                    |                       | US-                                      |                                |  |   |
|                    |                       | US-                                      |                                |  |   |
|                    |                       | US-                                      |                                |  |   |
|                    |                       | US-                                      |                                |  |   |
|                    |                       | US-                                      |                                |  |   |
|                    |                       | US-                                      |                                |  |   |

**FOREIGN PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear | T <sup>6</sup> |
|--------------------|-----------------------|---|--------------------------------|--|---|----------------|
|                    |                       | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) |                                |  |   |                |
|                    |                       |   |                                |  |   |                |
|                    |                       |   |                                |  |   |                |
|                    |                       |   |                                |  |   |                |
|                    |                       |   |                                |  |   |                |
|                    |                       |   |                                |  |   |                |
|                    |                       |   |                                |  |   |                |
|                    |                       |   |                                |  |   |                |

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND**  
TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

|                        |                     |
|------------------------|---------------------|
| Application Number     | 10/687,002          |
| Filing Date            | 10/16/2003          |
| First Named Inventor   | Tuan Ta             |
| Art Unit               | 2141                |
| Examiner Name          | Coulter, Kenneth R. |
| Attorney Docket Number | ION1120-1           |

Sheet

1

of

3

**NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|--------------------|-----------------------|---|----------------|
|                    | C14                   | U.S. Patent Office Action issued June 9, 2008, in U.S. Patent Application No. 10/683,317, Richard MacKinnon, 15 pages   |                |
|                    | C15                   | FAN et al, "Distributed Real Time Intrusion Detection System for 3G", 2004, pages 1566-70   |                |
|                    | C16                   | YU et al., "Fuzzy Logic Based Adaptive Congestion Control Scheme for High-Speed Network", Aug. 2004, pages 389-93   |                |
|                    | C17                   | HAMANO et al., "A Redirections-Based Defense Mechanism Against Flood-Type Attacks in Large Scale ISP Networks, 2004, pages 543-7  |                |
|                    | C18                   | SAROLAHTI, "Congestion Control on Spurious TCP Retransmission Timeouts," 2003, pages 682-6  |                |
|                    | C19                   | ESTEVEZ-TAPIADOR et al., "Measuring Normality in HTTP Traffic for Anomaly-Based Intrusion Detection", 06-06-2004, pages 175-93  |                |
|                    | C20                   | XING et al., "A Survey of Computer Vulnerability Assessment", Jan. 2004, pages 1-11   |                |
|                    | C21                   | WEN et al. "Development of a Snort-Based Security Network Management and Real-Time Intrusion Detection System", Feb. 2004, pages 40-3   |                |
|                    | C22                   | THOTTETHODI et al., "Exploiting Global Knowledge to Achieve Self-Tuned Congestion Control for K-ary n-cube Networks", Mar. 2004, pages 257-72   |                |
|                    | C23                   | TRABELSI et al., "Malicious Sniffing Systems Detection Platform", 2004, pages 201-7   |                |

|                    |  |                 |  |
|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

|                        |                     |
|------------------------|---------------------|
| Application Number     | 10/687,002          |
| Filing Date            | 10/16/2003          |
| First Named Inventor   | Tuan Ta             |
| Art Unit               | 2141                |
| Examiner Name          | Coulter, Kenneth R. |
| Attorney Docket Number | ION1120-1           |

Sheet 2 of 3

**NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|--------------------|-----------------------|---|----------------|
|                    | C24                   | GUANGZHI et al., "A Framework for Network Vulnerability Analysis", 2002, pages 289-94   |                |
|                    | C25                   | ALBUQUERQUE et al., "Network Border Patrol: Preventing Congestion Collapse and Promoting Fairness in the Internet", Feb. 2004, pages 173-86   |                |
|                    | C26                   | WIRBEL, LORING, "Security Stampede Could Flatten IPSec", Jan. 2004, p. 12   |                |
|                    | C27                   | MACLEOD, CALUM, "Freeing the Shackles with Secure Remote Working", 2003, pages 66-67  |                |
|                    | C28                   | FISHER, D., "SSL Simplifies VPN Security", 11-10-2003, page 40  |                |
|                    | C29                   | CONRY-MURRAY, A., "SSL VPNs: Remote Access for the Masses", Oct. 2003, pages 26-32  |                |
|                    | C30                   | "Permeo Supports Microsoft Network Access Protection for Simplified Secure Remote Access; Permeo's Base5 Support for Microsoft Tech. Provides "Zero Touch" Policy Enforcement,  |                |
|                    | C31                   | No author, "Permeo Drives Out Operational Costs, Simplifies Secure Remote Access, 03-28-2005, pages NA  |                |
|                    | C32                   | No author, 'Netilla Lauches SSL VPN for Citrix. (Industry Briefs) (Virtual Private Networks) (Brief Article)," 09-20-2004, page 43  |                |
|                    | C33                   | "Netilla Lauches Secure Gateway Appliance Family of Application-Specific SSL VPN Products; Initial SGA-C Model Provides Secure Remote Access to Citrix MetaFrame Presentation   |                |

|                    |  |                 |  |
|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

|                        |                     |
|------------------------|---------------------|
| Application Number     | 10/687,002          |
| Filing Date            | 10/16/2003          |
| First Named Inventor   | Tuan Ta             |
| Art Unit               | 2141                |
| Examiner Name          | Coulter, Kenneth R. |
| Attorney Docket Number | ION1120-1           |

Sheet 3 of 3

**NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|--------------------|-----------------------|---|----------------|
|                    | C34                   | No author, "Secure Remote Access (Network Security) (VPN Gateway 4400 Series) (Brief Article)", 03-01-2004, page 50   |                |
|                    | C35                   | "Fortinet and Avenail Deliver Joint Solution for Clientless Remote Access with High-Performance Antivirus Protection; Integrated SSL VPN and Antivirus Offering Provides  |                |
|                    | C36                   | HAMBLE, MATT, "Cisco Targets SSL VPN Vendors, Adds Support for Clientless Security Protocol: Installed Base of VPN Devices May Give it an Edge, Despite Late Entry (News)"  |                |
|                    | C37                   | International Search Report and Written Opinion for related International Application No. PCT/US04/29249, 12-15-2005  |                |
|                    | C38                   | STONE, DAVID, "Securing Wireless LANs with VPN," 05-2006, pages NA  |                |
|                    | C39                   | HAMZE, et al., "Point-to-Point Tunneling Protocol—PPTP RFC 2637" Network Working Group, 07-1999, pgs. 1-54  |                |
|                    | C40                   | PFLEGER, CHARLES P., Security in Computing, PTR Prentice-Hall, Inc., 1989, Ch. 10   |                |
|                    | C41                   | International Search Report for PCT/US03/32268 dated 10/29/04   |                |
|                    |                       |   |                |
|                    |                       |   |                |

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.